

03-01-07

Receipt
CASE PR/4-33154A



FILING BY "EXPRESS MAIL" UNDER 37 CFR 1.10

EV678113236US
Express Mail Label Number

February 28, 2007
Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Art Unit: 1645

FAIRHURST ET AL.

APPLICATION NO: 10/552,023

FILED: OCTOBER 3, 2005

FOR: QUINOLINE-2-ONE DERIVATIVES FOR THE TREATMENT OF
AIRWAYS DISEASES

MS: Missing Parts

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

LETTER CORRECTING OFFICIAL FILING RECEIPT

Sir:

The official filing receipt received in the above-identified application erroneously lists the "Applicant(s)" information. The last name of the seventh inventor is incorrect. Please issue a corrected filing receipt listing the Applicant(s) information as follows:

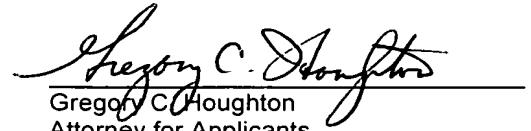
--Applicant(s)

Robin Alec Fairhurst, West Sussex, UNITED KINGDOM;
David Andrew Sandham, West Sussex, UNITED KINGDOM;
David Beattie, West Sussex, UNITED KINGDOM;
Ian Bruce, West Sussex, UNITED KINGDOM;
Bernard Cuenoud, Lausanne, SWITZERLAND;
Reamonn Madden, Hampshire, UNITED KINGDOM;
Neil John Press, West Sussex, UNITED KINGDOM;
Roger John Taylor, West Sussex, UNITED KINGDOM;
Katharine Louise Turner, West Sussex, UNITED KINGDOM;
Simon James Watson, West Sussex, UNITED KINGDOM;

A copy of the filing receipt with the correction noted is enclosed.

No fee is believed to be required by this request for a corrected filing receipt.

Respectfully submitted,



Gregory C. Houghton
Attorney for Applicants
Reg. No. 47,666

Novartis
Corporate Intellectual Property
One Health Plaza, Building 104
East Hanover, NJ 07936-1080
(862) 778-2614
Date: 2/27/07



UNITED STATES PATENT AND TRADEMARK OFFICE

GLH
 UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
✓10/552,023	07/27/2006	1645	1030	PR/4-33154A	✓	14	✓ 1

CONFIRMATION NO. 6898

1095
 NOVARTIS
 CORPORATE INTELLECTUAL PROPERTY
 ONE HEALTH PLAZA 104/3
 EAST HANOVER, NJ 07936-1080

FILING RECEIPT



OC000000021582570

Date Mailed: 12/13/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- ✓ Robin Alec Fairhurst, West Sussex, UNITED KINGDOM;
- ✓ David Andrew Sandham, West Sussex, UNITED KINGDOM;
- ✓ David Beattie, West Sussex, UNITED KINGDOM;
- ✓ Ian Bruce, West Sussex, UNITED KINGDOM;
- ✓ Bernard Cuenoud, Lausanne, SWITZERLAND;
- ✓ Reamonn Madden, Hampshire, UNITED KINGDOM;
- ✓ Neil John Taylor, West Sussex, UNITED KINGDOM;
- ✓ Roger John Taylor, West Sussex, UNITED KINGDOM;
- ✓ Katharine Louise Turner, West Sussex, UNITED KINGDOM;
- ✓ Simon James Watson, West Sussex, UNITED KINGDOM;



Power of Attorney: The patent practitioners associated with Customer Number 1095.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/EP04/03516 04/02/2004

Foreign Applications

- ✓ UNITED KINGDOM 0307856.5 04/04/2003
- ✓ UNITED KINGDOM 0311462.6 05/19/2003
- ✓ UNITED KINGDOM 0313489.7 06/11/2003
- ✓ UNITED KINGDOM 0316656.8 07/16/2003
- ✓ UNITED KINGDOM 0316657.6 07/16/2003

If Required, Foreign Filing License Granted: 12/12/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/552,023

Projected Publication Date: 03/22/2007

Non-Publication Request: No

Early Publication Request: No

Title

Quinoline-2-one derivatives for the treatment of airways diseases

Preliminary Class

424

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).